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Receipt #4  
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5/17/02

PATENT  
450110-03697

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Vincent Carl HARRADINE et al.  
Serial No. : 10/005,603  
Filed : December 4, 2001  
For : AUDIO/VIDEO REPRODUCING APPARATUS AND METHOD  
Art Unit : 2613

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on March 8, 2002

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or  
Registered Representative

Signature

March 8, 2002

Date of Signature

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Technology Center 2600

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division  
Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a Request to correct the Filing Receipt issued in the above-identified application.

Under Applicant(s):

Please correct the spelling of the fifth applicant's name from "MARK JOHN MCGARTH" to --MARK JOHN MCGRATH--.

PATENT  
450110-03697


The foregoing changes are needed to correct inadvertent errors, as evidenced by the Declaration submitted with the patent application. A copy of the Filing Receipt with the requested correction(s) noted thereon in red ink is also enclosed.

The issuance of a corrected Filing Receipt is respectfully requested.

Please charge any fees required for this correction or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By:   
Glenn F. Savit  
Reg. No. 37,437  
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Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/005,603	12/04/2001	2613	2180	450140-A 10 13 03697	13	72	9

FROMMER LAWRENCE & HAUG LLP  
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FROMMER LAWRENCE &amp; HAUG, LLP

CONFIRMATION NO. 9751

## FILING RECEIPT



\*OC000000007287275\*

Date Mailed: 01/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Vincent Carl Harradine, Burlington, CANADA;  
Alan Turner, Basingstoke, UNITED KINGDOM;  
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H.C. Grath ←

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## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/GB01/01452 03/30/2001

## Foreign Applications

UNITED KINGDOM 0008429.3 04/05/2000  
UNITED KINGDOM 0008432.7 04/05/2000  
UNITED KINGDOM 0008434.3 04/05/2000

E-CLOCKED

If Required, Foreign Filing License Granted 01/09/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Audio/video reproducing apparatus and method

**Preliminary Class**

348

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

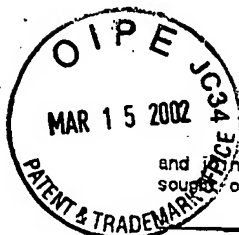
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**DECLARATION FOR PATENT APPLICATION (JOINT OR SOLE)**

(Under 37 CFR § 1.63; with Power of Attorney)

**FROMMER LAWRENCE & HAUG LLP**

FLH File No. 450110-03697



As a below named inventor, I hereby declare that:  
 My residence, post office address and citizenship are as stated below next to my name,  
 I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first  
 and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is  
 sought on the invention ENTITLED:

**AUDIO/VIDEO REPRODUCING APPARATUS AND METHOD**

the specification of which

\_\_\_\_\_ is attached hereto.

☒ was filed on 30 March 2001 as International Application Serial No. PCT/GB01/01452,  
 with amendment(s) through \_\_\_\_\_ (if applicable, give dates).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including  
 the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me  
 to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s)  
 for patent or inventor's certificate listed below and have also identified below any foreign application for patent or  
 inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)	Number:	Country:	Filed (Day/Month/Year):	Priority Claimed:
	0008429.3	U.K.	5 April 2000	Yes
	0008432.7	U.K.	5 April 2000	X
	0008434.3	U.K.	5 April 2000	X
	PCT/GB01/01452	PCT	30 March 2001	X

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed  
 below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United  
 States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the  
 duty to disclose to the United States Patent and Trademark Office all information known to me to be material to  
 patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing  
 date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s) (list additional applications on separate page):  
Appl. Ser. Number: Filed (Day/Month/Year): Status (patented, pending, abandoned):

I hereby appoint WILLIAM S. FROMMER, Registration No. 25,506, and DENNIS M. SMID, Registration No. 34,930  
 or their duly appointed associate, my attorneys, with full power of substitution and revocation, to prosecute this  
 application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to  
 receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection  
 therewith, and specify that all communications about the application are to be directed to the following correspondence  
 address:

WILLIAM S. FROMMER, Esq.  
 c/o FROMMER LAWRENCE & HAUG LLP  
 745 Fifth Avenue  
 New York, New York 10151

Direct all telephone calls to:  
 (212) 588-0800  
 to the attention of:  
WILLIAM S. FROMMER

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on  
 information and belief are believed to be true; and further that these statements were made with the knowledge that  
 willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of  
 Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application  
 or any patent issued thereon.

INVENTOR(S): V. Harradine

Signature: \_\_\_\_\_

Full name of sole or first inventor: \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Vincent Carl HARRADINE  
 Burlington, Ontario, Canada

Date: 18/12/02

Signature: \_\_\_\_\_

Full name of 2nd joint inventor (if any): \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Atan TURNER  
 Basingstoke, Hampshire, U.K.

Date: 19/12/01

Signature: \_\_\_\_\_

Full name of 3rd joint inventor (if any): \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Morgan William DAVID  
 Farnham, Surrey, U.K.

Date: 20-12-01

[Similarly list additional inventors on separate page]  
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 [if all inventors have the same post office address]

SONY UNITED KINGDOM LIMITED  
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 Weybridge KT13 0XW, England, United Kingdom

Note: A post office address must be provided for each inventor.

## ADDITIONAL INVENTORS

Signature: Michael Williams Date: 19/12/01  
Full name of 4th joint inventor (if any): Michael WILLIAMS  
Residence: Basingstoke, Hampshire, U.K.  
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Signature: Mark John McGrath Date: \_\_\_\_\_  
Full name of 5th joint inventor (if any): Mark John McGRATH  
Residence: Bracknell, Berkshire, U.K.  
Citizenship: U.K.

Signature: M McGrath Date: 20/12/01  
Full name of 6th joint inventor (if any): Andrew KYDD  
Residence: Basingstoke, Hampshire, U.K.  
Citizenship: U.K.

Signature: Jonathan Thorpe Date: 19/12/01  
Full name of 7th joint inventor (if any): Jonathan THORPE  
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Citizenship: U.K.